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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Date

JAN 2 6 2006

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Zoe Huang

Typed or printed name

Application Number 10/604,267

Filing Date 07/07/2003

First Named Inventor Shan-Chyun Ku

Art Unit 2124

Examiner Name CHAKI, KAKALI

Attorney Docket Number FTCD0021115A

Total Number of Pages in This Submission	4	Attorney Docket Number	FTCPC	0021USA	
ENCLOSURES (Check all that apply)					
Fee Transmittal Form		Drawing(s)		After Allowance Communication to TC  Appeal Communication to Board	
Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application  Reply to Missing Parts	Remai	Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD  Remarks		Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please Identify below):  Notification of loss of entitlement to small entatus according to 37 CFR 1.27(g)(2).	
under 37 CFR 1.52 or 1.53		OF APPLICANT, ATTOR	RNEY, O	RAGENT	
Firm Name North America Intellectual Property Corporation					
Signature Window bitoric					
Printed name Winston Hsu					
Date Reg. No. 41,526				41,526	
CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:					
Signature					

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (12-04v2)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paragwork Reduction at of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

## FEE TRANSMITTAL For FY 2005

\_\_\_ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)	0.	A	n
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Complete if Known				
Application Number	10/604,267			
Filing Date	07/07/2003			
First Named Inventor	Shan-Chyun Ku			
Examiner Name	CHAKI, KAKALI			
Art Unit	2124			
Attomey Docket No.	FTCP0021USA			

METHOD OF PAYMENT	「(check al	l that apply)					
Check Credit Card Money Order None Other (please identify):							
Deposit Account Deposit Account Number: 50-3105  Deposit Account Name: North America Intellectual Property Corporation					ectual Property Corporation		
For the above-identif				<u> </u>			
Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee							
Charge any additional fee(s) or underpayments of fee(s)  Credit any overpayments							
under 37 CFR	R 1.16 and 1	.17			• •		
WARNING: Information on this information and authorization (	_	•	edit card informa	tion should no	t be included or	this form. Provi	de credit card
FEE CALCULATION			•				
1. BASIC FILING, SEAI	RCH. AND	EXAMINATION	ON FEES				
	FILING	FEES	SEARCH			TION FEES	
Application Type		Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	mall Entity Fee (\$)	Fees Paid (\$)
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200		0				
		100	U	0	0	0	Small Entity
2. EXCESS CLAIM FE Fee Description	E9					Fee (\$)	Fee (\$)
Each claim over 20 (in	ncluding R	Reissues)		•		50	25
Each independent claim over 3 (including Reissues) 200					200	100	
Multiple dependent claims 360					180		
<u>Total Claims</u>			<del></del>	<del></del>			pendent Claims
		X		<del></del> /		<u>Fee (\$)</u>	Fee Paid (\$)
HP = highest number of total of Indep. Claims	Extra Clair	·		id (\$)			
	·	x					
HP = highest number of indep	endent claims	s paid for, if greater	than 3.				
3. APPLICATION SIZE FEE							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer							
listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50							
sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).  Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)  - 100 = /50 (round up to a whole number) x =							
4 OTUED FEE(0)							
4. OTHER FEE(S)  Non-English Specification, \$130 fee (no small entity discount)  Fees Paid (\$)					Fees Paid (\$)		
Other (e.g., late filing surcharge):							

SUBMITTED BY			
Signature	alundon Han	Registration No. (Attorney/Agent) 41,526	Telephone 3027291562
Name (Print/Type)	Winston Hsu		Date JAN 2 6 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shan-Chyun Ku Examiner: CHAKI, KAKALI

5 Filing Date: 07/07/2003 App. No.: 10/604,267

Assignee: Faraday Technology Corp. Docket No.: FTCP0021USA0

Title: METHOD FOR IMPROVING PROCESSING EFFICIENCY OF

10 PIPELINE ARCHITECTURE

To: Commissioner of Patents

P.O. Box 1450

15 Alexandria, VA 22313-1450

Subject: Notification of loss of entitlement to small entity status according to

37CFR 1.27 (g)(2)

20 Dear Sir:

25

This is a notification according to 37CFR 1.27 (g)(2) that the small entity status of the assignee indicated above is not longer appropriate. The employee number of the assignee has increased and is more than 500 persons recently. The assignee of the present application is thus no longer fit the small entity standards set forth in 13 CFR part 121.

Sincerely yours,

Wunton	Lan

Date: \_\_\_\_JAN. 26 2006

5 Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

e-mail: winstonhsu@naipo.com

Facsimile: 806-498-6673

10 (Please contact me by e-mail if you need a telephone communication and I will return your call promptly.)

• • •